

Unitholder's name.....
 Corporate Registration ID.....
 Telephone No.....Mobile No.....

Unitholder's No. - - -
 Authorized Person Name.....
 E-mail.....

I For general investment

- What's your age?
 - (1) Above 55 years
 - (2) 45 - 55 years
 - (3) 35 - 44 years
 - (4) Below 35 years
- How much is the portion of your financial liabilities and on going expenses e.g. installment of auto loan and home loan, personal expenses and family living allowance?
 - (1) More than 75% of total income
 - (2) Between 50% - 75% of total income
 - (3) Between 25% - 50% of total income
 - (4) Less than 25% of total income
- What is the current financial situation?
 - (1) Having less assets than liabilities
 - (2) Having assets equal to liabilities
 - (3) Having more assets than liabilities
 - (4) Having confidence that life after retirement is already covered by savings and investments
- Do you have any experience or knowledge in investing in any of the following asset classes? (Choose all that apply)
 - (1) Savings
 - (2) Government bonds or government bond mutual funds
 - (3) Debentures or fixed-income mutual funds
 - (4) Common stocks or equity mutual funds or other type of risky assets
- How long do you estimate that you do not need the proceeds of this investment?
 - (1) Less than 1 year
 - (2) 1-3 years
 - (3) 3-5 years
 - (4) More than 5 years
- What is your main investment objective of your?
 - (1) Principal protection with consistent returns (lower rate of return is acceptable)
 - (2) Consistent returns with some risk of loss on capital
 - (3) Higher returns with higher risk of loss on capital
 - (4) Higher returns in long term with highest risk of loss on capital
- After considering the samples of receiving return below, which one is the 'most preferable for you to invest?
 - (1) Having a chance receive 2.5% return without incurring any losses
 - (2) Having a chance to receive a maximum return of 7% whilst the loss could be incurred at 1%
 - (3) Having a chance to receive a maximum return of 15% whilst the loss could be incurred at 5%
 - (4) Having a chance to receive a maximum return of 25% whilst the loss could be as high as 15%

- In case that you decides to invest in assets with potentially high returns as well as high risk of loss, what would be your feelings?
 - (1) Anxiety and panic about incurring losses
 - (2) Unease but acceptable
 - (3) Understandable and tolerance-able
 - (4) Not concern about high risk of loss and expect to receive higher returns
- What is the proportion level you would feel concern/unacceptable when the investment value has dropped?
 - (1) Less than 5%
 - (2) Between 5%-10%
 - (3) between 10% - 20%
 - (4) More than 20%
- In case that you have invested Baht 100,000 since last year and later this year the investment value has dropped to Baht 85,000 what would you intend to do?
 - (1) Panic and prefer to liquidate the entire investment amount
 - (2) Concern and shall allocate some portion of investment to less risky assets
 - (3) Be patient to hold the investment and wait for the gain to cover the previous loss
 - (4) Be confident on long-term investment horizon and shall increase investment amount in order to average the cost

II Question 11-12 apply for additional information for investment recommendation

For derivatives investment

- Investing in derivatives, if success, you could gain skyrocketing returns; however, if fail, you could lose your entire investment principal and may need to compensate additional losses. Would this be acceptable for you?
 - (1) 'No
 - (2) 'Yes, Partially
 - (3) 'Yes

For foreign investments

- Asides from relevant investment risks, could you accept foreign exchange risk?
 - (1) 'No
 - (2) 'Yes, Partially
 - (3) 'Yes

I hereby certify that the information provided herein is accurate, complete and truthful. Furthermore, I accept to comply with the terms and conditions specified after ending 2 years from the last day I am completed the Risk Profile Assessment Questionnaire (and/or other time frame set by SEC/Principal Asset Management Company Limited or any other relevant authorities, including any changes thereof) if the asset management company does not receive a proper Customer Risk Profile and Suitability of the unitholders within a specified period. The asset management company would consider that I am agrees to take the lasted information on the customer risk profile and suitability in the system as current information.

Scores and Risk Level

Scores	ระดับการรับความเสี่ยง	
Less than 15	Level 1	Low risk- You can invest in funds with risk level of 2-8 but should not exceed 20 percent of your portfolio.
15-21	Level 2	Medium to low risk- You can invest in funds with risk level of 5-8 but should not exceed 20 percent of your portfolio.
22-29	Level 3	Medium to high risk- You can invest in funds with risk level of 6-8 but should not exceed 20 percent of your portfolio.
30-36	Level 4	High risk- You can invest in funds with risk level of 8 but should not exceed 20 percent of you porfolio.
More than 37	Level 5	Very high - Level 1-8

For evaluation / Unitholder

Signature Unitholder / Authorizing officer
 (.....)
 Date.....

For officer / Contact with Investors

score Risk Level
 Risk Level For foreign investments No Yes, Partially Yes
 For derivatives investments No Yes, Partially Yes
 Evaluator Name.....